

INFORMATION CITED BY APPLICANT THAT MAY BE MATERIAL TO THE
PROSECUTION OF THE SUBJECT APPLICATION

Applicant: Christopher Keith Attorney Docket No.: IVEN125524
Application No.: 09/802,223 Art Unit: 3628 / Confirmation No.: 6685
Filed: March 8, 2001 Examiner: O. Oyebisi
Title: AUTOMATED PREFERENCES FOR MARKET PARTICIPANTS

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	Document No.	Kind Code	Date (mm/dd/yyyy)	Name
0-0	U1	3,702,007		10/31/1972	Davis II
	U2	4,346,442		08/24/1982	Musmanno
	U3	4,376,978		03/15/1983	Musmanno
	U4	4,456,957		06/26/1984	Schieltz
	U5	4,823,265		04/18/1989	Nelson
	U6	5,077,665		12/31/1991	Silverman et al.
	U7	5,101,353		03/31/1992	Lupien et al.
	U8	5,148,365		09/15/1992	Dembo
	U9	5,148,368		09/15/1992	Okubo
	U10	5,253,165		10/12/1993	Leiseca et al.
	U11	5,297,032		03/22/1994	Trojan et al.
	U12	5,412,804		05/02/1995	Krishna
	U13	5,453,601		09/26/1995	Rosen
	U14	5,615,269		03/25/1997	Micali
	U15	5,689,652		11/18/1997	Lupien et al.
	U16	5,845,266		12/01/1998	Lupien et al.
	U17	5,847,845		12/08/1998	Takahashi et al.
	U18	5,915,245		06/22/1999	Patterson, Jr. et al.
	U19	5,924,083		07/13/1999	Silverman et al.
0-0	U20	5,953,707		09/14/1999	Huang et al.

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{LLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

0-0	U21	5,983,200		11/09/1999	Slotznick
	U22	6,014,643		01/11/2000	Minton
	U23	6,029,146		02/22/2000	Hawkins et al.
	U24	6,035,289		03/07/2000	Chou et al.
	U25	6,068,117		05/30/2000	Koehn
	U26	6,101,484		08/08/2000	Halbert et al.
	U27	6,131,087		10/10/2000	Luke et al.
	U28	6,160,204		12/12/2000	Steffens
	U29	6,236,980	B1	05/22/2001	Reese
	U30	6,278,982	B1	08/21/2001	Korhammer et al.
	U31	6,285,989	B1	09/04/2001	Shoham
	U32	6,317,727	B1	11/13/2001	May
	U33	6,321,212	B1	11/20/2001	Lange
	U34	6,343,278	B1	01/29/2002	Jain et al.
	U35	6,418,419	B1	07/09/2002	Nieboer et al.
	U36	6,421,653	B1	07/16/2002	May
	U37	6,493,682	B1	12/10/2002	Horrigan et al.
	U38	6,505,174	B1	01/07/2003	Keiser et al.
	U39	6,601,044	B1	07/29/2003	Wallman
	U40	6,625,583	B1	09/23/2003	Silverman et al.
	U41	6,629,082	B1	09/30/2003	Hambrecht et al.
	U42	6,792,399	B1	09/14/2004	Phillips et al.
0-0	U43	2003/0014354	A1	01/16/2003	Madoff et al.

FOREIGN PATENT DOCUMENTS

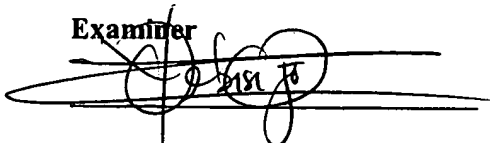
None.

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

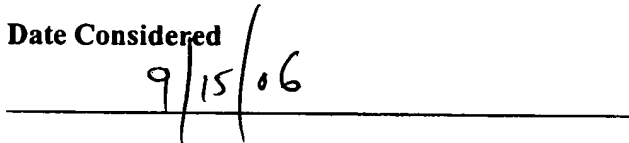
OTHER INFORMATION
(Including Author, Title, Date, Pertinent Pages, Etc.)

*Examiner Initial	Cite No.
D. O	O1 Board of Trade of the City of Chicago, <i>Commodity Trading Manual</i> , 1998, pp. 178-179, 387.
	O2 Hull, J.C. <i>Introduction to Futures & Options Markets</i> , Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34, 188-190.
	O3 "More Companies Buying Stock," <i>The Salt Lake Tribune</i> , April 9, 2000, http://proquest.umi.com/pqdweb?index=6&did=52501230&SrchMode=1&sid=21&Fmt=3... [retrieved November 3, 2005]
G. O	O4 "Streamer Free Real-Time Stock Quote Service Registers 12,000 Users," <i>PR Newswire</i> , November 3, 1999, http://www.findarticles.com/m4PRN/1999_Nov_3/57153236/p1/article.jhtml , [retrieved April 5, 2004].

Examiner



Date Considered



*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

KLM:sdd

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{LLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100